

**HICKMAN PALERMO TRUONG & BECKER LLP**

1600 Willow Street  
San Jose, CA 95125-5106  
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**FACSIMILE****FROM:**

Attorney: Van Mahamedi Direct Phone: 408-414-1080 ext 209  
Attorney's E-Mail: vmahamedi@hptb-law.com Sender's Fax: San Jose, CA (408) 414-1076  
By Secretary: Lindy Vajretti Date: July 3, 2002 Time Sent: 14  
Client/Matter 25216-0882 Number of pages including this page:

**TO: USPTO**  
**Office of Petitions**  
**(703) 305-9822**

Examiner	Art Unit	Application no.	Filing Date	Inventor
Not yet assigned	2181	10/043,552	January 8, 2002	E. Michael LUNSFORD

**OFFICIAL CORRESPONDENCE**

1. Petition to Have Item Determined to Have Been Deposited in the USPTO with the Non-Provisional Application Papers.
2. Copy of the Notice of Omitted Item(s) in a Non-Provisional Application.
3. Copy of stamped Acknowledgement Receipt.
4. Copy of Acknowledgement Receipt postcard as filed on January 8, 2002.
5. Copy of Utility Patent Application Transmittal Form.
6. Copies of pages 37 and 38 of the original specification filed January 8, 2002.
7. Fee Transmittal.
8. Copy of Cover Letter Resending Correspondence.
9. Copy of Filing Receipt.

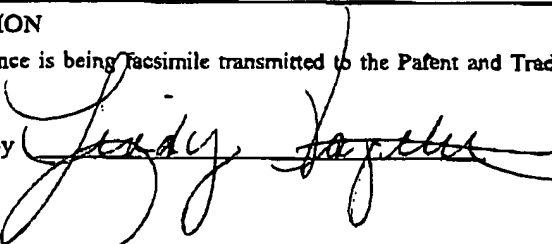
**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office  
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on

July 3, 2002

by



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PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0851-0032  
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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision,  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$)**240.00****Complete if Known**

Application Number	10/043,552
Filing Date	January 8, 2002
First Named Inventor	E. Michael LUNSFORD
Examiner Name	Not yet assigned
Group/Art Unit	2181
Attorney Docket No.	25216-0882

**METHOD OF PAYMENT (check one)**

1. ☒ Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number  
**50-1302**Deposit Account Name  
**Hickman Palermo Truong & Becker, LLP**

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

3. ☐ Applicant(s) is entitled to small entity status.  
See 37 CFR 1.27

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	0.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)**NA****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
Independent Claims	-20**=	18.00	0.00
Multiple Dependent Claims	-3**=	84.00	0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**NA****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,860	228	930	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	820	244	410	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
148	690	248	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)**240.00****SUBMITTED BY**Name (Print/Type) **Van Mahamed**Registration No. (Attorney/Agent) **42,828****Complete (if applicable)**Telephone **(408) 414-1080**Signature **[Signature]**Date **2/3/02****WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.  
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,552	01/08/2002	E. Michael Lunsford	25216-0882

29989

HICKMAN PALERMO TRUONG & BECKER, LLP  
1600 WILLOW STREET  
SAN JOSE, CA 95125

CONFIRMATION NO. 7302

FORMALITIES LETTER

\*C000000007802673\*

JUN 22 2002

Date Mailed: 04/05/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Page(s) 37,38 of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

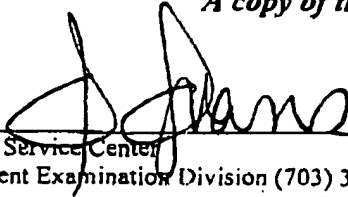
III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be "J. J. Jones", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (CONTINUATION CLAIMING BENEFIT OF PROVISIONAL APPLICATION) (New Nonprovisional Applications Under 37 CFR § 1.53(b))	<b>Attorney Docket No.</b> <b>25216-0882</b>
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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, E. Michael Lunsford, entitled ELECTRONICALLY-ENABLED ENCASEMENT FOR A HANDHELD COMPUTER for a(n):

( ) Original Patent Application.

(X) Continuing Application (prior application not abandoned):

(X) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
 of prior application No: 09/573,451 Filed on: May 16, 2000, which claims the benefit of prior application No: 09/502,169 Filed on: February 11, 2000; application No. 09/502,630 Filed on: November 30, 1999; application No. 09/271,057 Filed on: March 16, 1999; application No. 09/244,440 Filed on: February 4, 1999; application No. 09/246,781 Filed on: February 4, 1999; and application No. 09/244,615 Filed on: February 4, 1999.

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification: 40 Total Pages; (X) Drawing(s): 14 Total Sheets.  
 (X) Oath or Declaration:  
 ( ) A Newly Executed Combined Declaration and Power of Attorney:  
 ( ) Signed ( ) Unsigned ( ) Partially Signed.  
 (X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
 (X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference in its entirety for all purposes.  
 ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
 ( ) Revocation and Appointment of New Power of Attorney, which is copied from the prior application for continuation/divisional is supplied.  
 (X) Return Receipt Postcard.  
 ( ) Associate Power of Attorney. (X) A Check in the amount of \$ 1,184.00 for the Filing Fee.  
 ( ) Preliminary Amendment. (X) Information Disclosure Statement and Form PTO-1449.  
 ( ) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
 ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  
 ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
 ( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  
 ( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
 (X) Other: Notice Regarding Power of Attorney

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	40	20	\$18.00	\$ 360.00
Independent Claims	4	1	\$84.00	\$ 84.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$740.00
Total Filing Fee				\$ 1,184.00

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to above deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: U-Mahamedi  
 Van Mahamedi, Attorney of Record, Reg. No. 42,828

Date: January 8, 2002

Correspondence Address:



PATENT & TRADEMARK OFFICE

Express Mail Label No.: EL734971255US

Date of Deposit: January 8, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

VM/LV

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/043,552	01/08/2002	E. Michael Lunsford	25216-0882

29989

HICKMAN PALERMO TRUONG & BECKER, LLP  
1600 WILLOW STREET  
SAN JOSE, CA 95125

JUN 22 2002

CONFIRMATION NO. 7302

RESEND COVER LETTER

\*OC00000008316113\*

Date Mailed: 06/20/2002

## COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 02/20/2002 is enclosed. The time period for reply continues to run from the mail date of that Notice.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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DATES:

6/24/02

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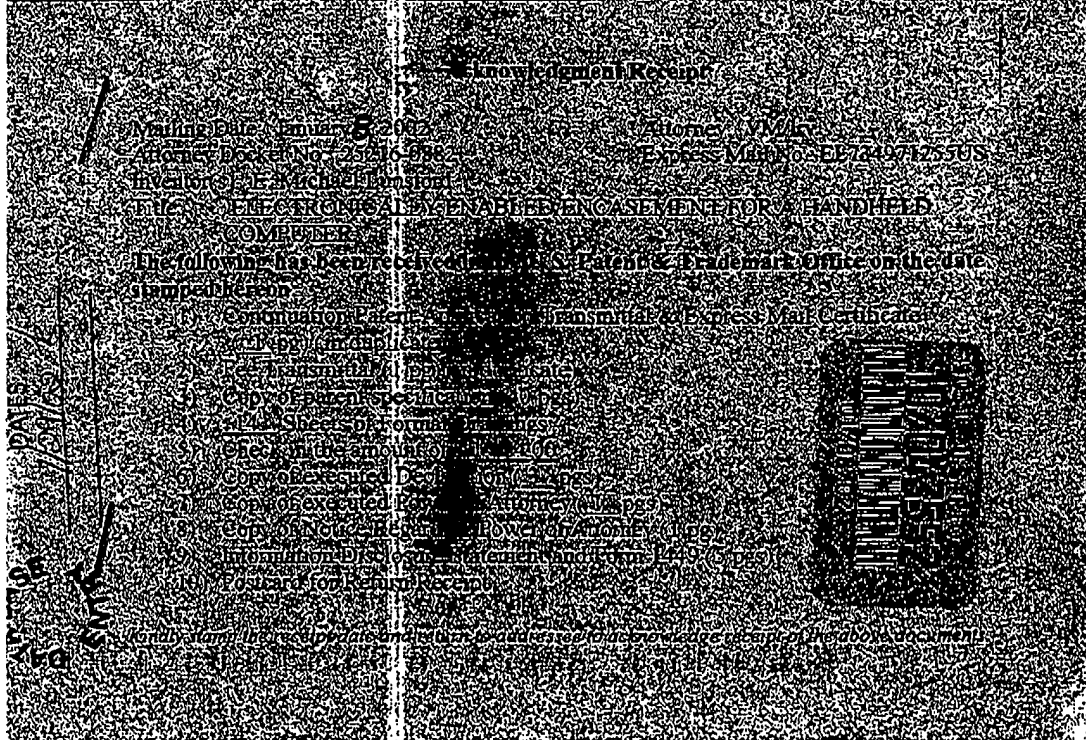
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HICKMAN PALERMO TRUDING  
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Label 11-F August 2000

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## Acknowledgment Receipt

Mailing Date: January 8, 2002

Attorney Docket No. 23216-0892

Inventor(s): E. Michael Hundford

Title: ELECTRONICALLY ENABLED ENCASEMENT FOR A HANDHELD

COMPUTER

The following has been received in the U.S. Patent &amp; Trademark Office on the date stamped hereon:

- 1) Confirmation Patent Application Transmittal & Express Mail Certificate (pgs) (on duplicate)
- 2) Fee Transmittal (pgs) (on duplicate)
- 3) Copy of parent specification (40 pgs)
- 4) 14 Sheets of Formal Drawings
- 5) Check in the amount of \$ 1,840.00
- 6) Copy of executed Declaration (3 pgs)
- 7) Copy of executed Power of Attorney (1 pgs)
- 8) Copy of Notice Regarding Power of Attorney (1 pgs)
- 9) Information Disclosure Statement and Form 1449 (5 pgs)
- 10) Postcard for Return Receipt

Kindly stamp the receipt date and return to address the undelivered receipt of the above documents.

EL734971255US







## UNITED STATES PATENT AND TRADEMARK OFFICE

VAILV

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/043,552	01/08/2002	2181	866	25216-0882	14	27	3

CONFIRMATION NO. 7302

29989

HICKMAN PALERMO TRUONG & BECKER, LLP  
1600 WILLOW STREET  
SAN JOSE, CA 95125

## REPLACEMENT FILING RECEIPT

  
"OC000000008316141"

JUN 22 2002

Date Mailed: 06/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

E. Michael Lunsford, San Carlos, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/502,169 02/11/2000 PAT 6,266,240 - 0795  
 AND IS A CIP OF 09/451,630 11/30/1999 743  
 AND IS A CIP OF 09/271,057 03/16/1999 740  
 AND IS A CIP OF 09/244,440 02/04/1999 PAT 6,388,877 719  
 AND IS A CIP OF 09/246,781 02/04/1999 ABN 721  
 AND IS A CIP OF 09/244,613 02/04/1999 PAT 6,388,870 722.

## Foreign Applications

If Required, Foreign Filing License Granted 02/11/2002

Projected Publication Date: To Be Determined - pending completion of Omitted Items.

Non-Publication Request: No

Early Publication Request: No

## Title

Electronically-enabled encasement for a handheld computer

DOCKETED

DATES:

6/24/02 12

DATA  
BASE  
ENTRY

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Pr Iliminary Class

710

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).